

ANNUAL REPORT

OFFICE FOR FACULTY RESEARCH AND RESOURCES

Willamette University
900 State Street
Salem, OR 97301

FISCAL YEAR ENDING MAY 31, 2009



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Director's Message

March 5, 2010

Dear Colleagues and Friends,

Now that all decisions on pending proposals from fiscal year 2008-09 have been made, on behalf of the staff of the Office for Faculty Research and Resources (OFFRR) I am pleased to present this report on OFFRR's fourth fiscal year of operation. We are ever grateful for opportunities to work with faculty members whose planning and proposal submissions help secure the funds needed to support research and teaching at Willamette. In FY2009 34 faculty members, representing 25% of the combined faculties of the College of Liberal Arts and School of Education, participated in the submission of fifty-one proposals. Even in this year of financial volatility the results were excellent. Grants and awards to faculty in FY2009 totaled \$1,242,801 with 47% of submitted proposals funded and 37% of requested dollars awarded.

The completion of OFFRR's fourth year provides more data with which to analyze longitudinal trends (for a summary, see page 7). Over the last four fiscal years faculty grants and awards averaged \$\$1,178,746.50 per year. The proportion of funded proposals averaged 49%; the fraction of dollars captured averaged 36% of dollars requested. Based on these trends OFFRR has been working to meet a set of strategic goals that will, if met by 2010, further advance faculty professional development and institutional visibility as they enhance grant, resource, and office capacity.

As the cost of providing high quality, high impact undergraduate education continues to escalate in a difficult economy, there is palpable anxiety about the funding outlook for higher education. In this time of uncertainty, one thing is certain: grants can only help. I encourage you to continue to submit. Even if your proposal is rejected you will be much further along toward resubmission or submission to a different funding source when the environment improves. Furthermore, proposal submission subjects your ideas to the scrutiny of some of the best peers in your field; they will gladly give you ideas to improve your work as a scholar and teacher.

We appreciate each person who has worked with OFFRR to advance the professional development of our faculty, the academic reputation and resources of our University, and the quality of the educational experience for our students. Whether your grant was funded or not, we thank you for the time and energy that you dedicate to your scholarship and teaching and all of the ways you contribute to the University's mission, long-range goals, and financial security. To all faculty members in the CLA and SOE: please let me, Pat or Kendra know how we can continue to serve you.

Many thanks to Pat Alley and Kendra Mingo who are the "feet on the ground" in OFFRR; they are superb. I can not thank former Dean Carol Long, Bob Olson, and their staffs enough for their patience, good humor, collegiality, and support! All of these wonderful people make my work with OFFRR a pleasure.

With sincere thanks for a great year past and best wishes for another to come,



Gary Tallman, Ph.D.

Director Office for Faculty Research and Resources

Professor of Biology and Taul Watanabe Endowed Chair of Science

History, mission, and organization

With a generous gift from an anonymous donor, on June 1, 2005, former Dean Carol S. Long and President M. Lee Pelton established Willamette University's Office for Faculty Research and Resources (OFFRR). OFFRR's mission is to help faculty members of the College of Liberal Arts and School of Education secure funding for original research and creative teaching programs. OFFRR staff members assist faculty in identifying potential funding sources, applying for grants and fellowships, and managing post-award reporting. The Office also prepares applications in nomination of faculty members for local, regional, state, national, and international awards. OFFRR accomplishes its mission through educational programs for faculty and through development of activities, resources, procedures, and policies that support its mission.

The Director of OFFRR reports to the Dean of the College of Liberal Arts. Dr. Gary Tallman is the Director of OFFRR (half-time); Dr. Patricia Alley is the Associate Director (full-time); Ms. Kendra Mingo is the Assistant Director (full-time). Full contact information for each staff member is included at the end of this report.

OFFRR's budget for FY2009 was \$ \$167,629.00.

Highlights of FY2009

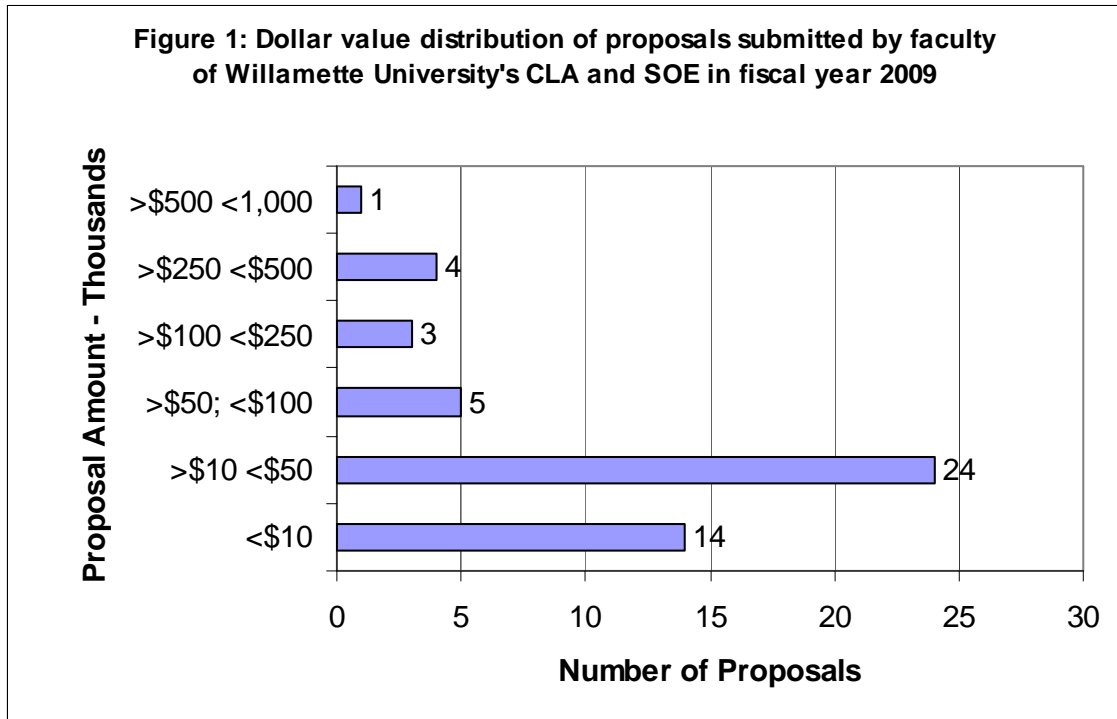
Awards to faculty announced in FY2009

There were twenty four awards to faculty announced in FY2009 totaling \$1,242,801.00 (Appendix I). Faculty submitted fifty-one proposals totaling \$3,329,540.00 in requests (Appendix I). Twenty-seven requests were declined; declined requests totaled \$1,917,658.00 (Appendix I). The fund rate based on dollars awarded as a percentage of dollars requested for proposals submitted in FY2007-08 was 37%. The proposal success rate based on the number of funded proposals as a percentage of proposals submitted was 47%.

Proposal profile

Most proposals (75%), submitted by or on behalf of faculty, comprised requests for less than \$50,000; 27% were requests for less than \$10,000 (Figure 1). The smallest request was for \$400.00; the largest was for \$550,970.00 (Appendix I).

The time from proposal submission to decision ranged from as little as one month to as long as 8 months (Appendix I). There was no correlation between the size of the request and the time required to receive a decision. Programs that required pre-proposals or letters of inquiry had shorter decision times than those that requested full proposals initially.



In FY2009 53% of proposals were submitted by or for faculty members in the arts, humanities, languages and literature, or social sciences; faculty members in the life sciences and physical sciences/mathematics submitted 37% of the total proposals. For the purposes of reporting, OFFRR groups departments by division (as recognized by Academic Council), college, or independent university program. The divisional distribution of awarded and declined proposals is summarized in Table 1.

Table 1: Number of CLA and SOE faculty proposals declined or awarded by discipline in fiscal year 2009.

<i>Division</i>	<i>Declined</i>	<i>Awarded</i>	<i>Total</i>	<i>% Total</i>
Arts	1	1	2	4%
Humanities	9	0	9	18%
Languages & Literature	1	5	6	12%
Life Sciences	4	4	8	16%
Physical Sciences/Mathematics	6	5	11	22%
Social Sciences	5	5	10	20%
Education	0	2	2	4%
IDEA	0	1	1	2%
CLA	1	1	2	4%
TOTAL	27	24	51	100%

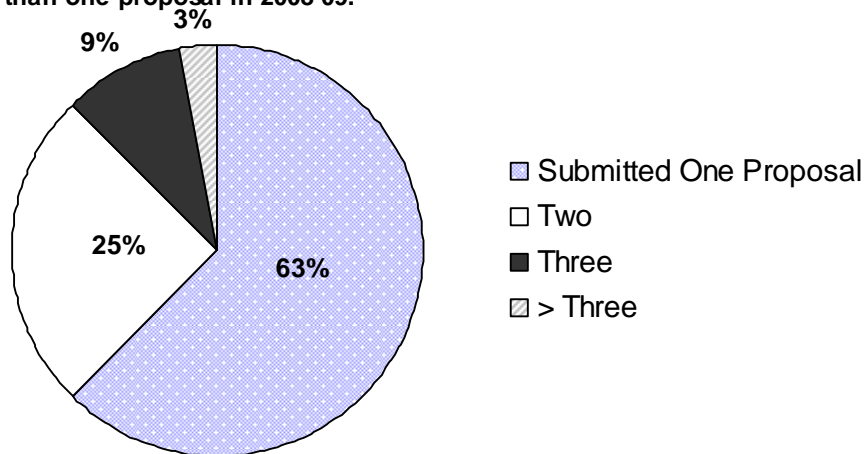
In direct relation to the distribution of proposals submitted, 42% percent of dollars *awarded* were to the arts, humanities, languages & literature, and social sciences, faculty members in the life sciences and physical sciences/mathematics received 32% of the total dollars awarded in FY 2009 (Table 2).

Table 2: Dollar distribution of CLA and SOE faculty proposals declined or awarded by discipline in fiscal year 2009.

Division	Requested	Denied	Awarded	% Total
Arts	\$1,500.00	\$0.00	\$1,500.00	0.12%
Humanities	\$269,525.00	\$269,525.00	\$0.00	0%
Languages & Literature	\$60,600.00	\$6,000.00	\$54,600.00	4%
Life Sciences	\$1,020,929.00	\$628,547.00	\$237,432.00	19%
Physical Sciences/Mathematics	\$380,979.00	\$221,729.00	\$159,250.00	13%
Social Sciences	\$1,217,507.00	\$741,857.00	\$463,500.00	37%
Education	\$196,000.00	\$0.00	\$196,000.00	16%
IDEA	\$90,000.00	\$0.00	\$90,519.00	7%
CLA	\$92,500.00	\$50,000.00	\$40,000.00	3%
TOTAL	\$3,329,540.00	\$1,917,658.00	\$1,242,801.00	100%

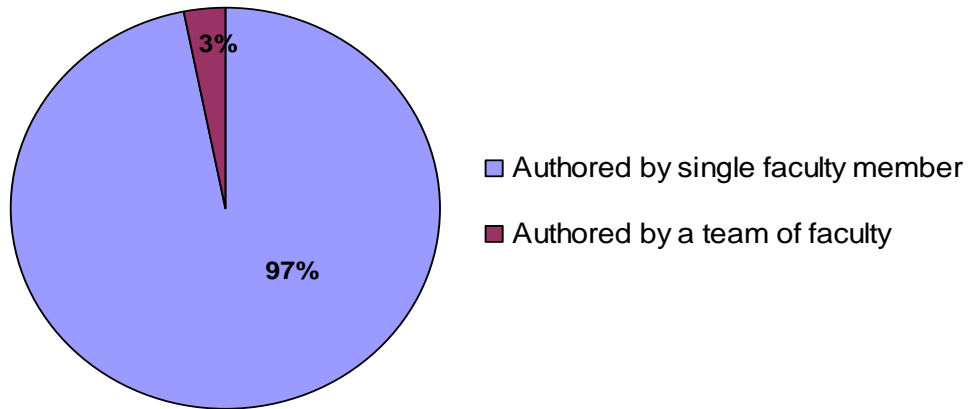
Thirty-four faculty members (representing 25% of the combined full-time tenure track faculty members of the CLA and School of Education) participated in the submission of at least one grant proposal; twelve of those thirty-four participated in more than one proposal submission (Figure 2).

Figure 2: Percentage of faculty participating in submission of one proposal vs. more than one proposal in 2008-09.



Ninety-seven percent of proposals were authored by, or submitted on behalf of, one faculty member (Figure 3); the remaining proposals were authored by a team of 2 or more faculty members (Figure 3).

Figure 3: Percentages of proposals submitted by Willamette University CLA and SOE faculty in fiscal year 2009 authored by a single faculty member or more than one faculty member.



Four Year Trends – 2005-2009 (Appendix 2, Figures 4 through 9):

- The yearly average number of faculty grant proposal submissions was 51;
- The yearly average number of faculty grant proposals awarded was 25, and the proportion of funded proposals was 49%;
- The yearly average percentage of dollars captured as a fraction of dollars requested was 36%;
- The average amount requested per year was \$3,393,187.00;
- The yearly average total amount awarded to faculty for proposals submitted was \$1,178,746.50;
- The total amount awarded to faculty for proposals submitted from 2005 through 2009 was \$4,714,986.00;
- Total requests made by faculty members in these four fiscal years were \$13,572,747.00.

Strategic Goals 2007-2010

To meet its short-term goals, OFFRR convenes weekly staff meetings, monthly meetings with Development and Alumni Relations, and monthly meetings with the Dean of the CLA. OFFRR also holds an annual two-day planning retreat. In 2007 OFFRR developed a set of strategic goals to be met by 2010. Meeting these goals will advance faculty professional development, raise university visibility and academic profile, and enhance proposal, resource, and office capacity. Since 2007 OFFRR has met several of its strategic goals (as denoted by ✓).

To further enhance grant proposal development, in 2007-2010 OFFRR will:

- ✓ Help place two CLA or SOE faculty on regional and national review panels per year;
- ✓ Send 5% of the faculty to publication & grant writing workshop per year;
- ✓ Host one proposal writing and one publication writing workshop in 2007-2010;
- ✓ Provide management workshops for faculty each year (2 COS workshops; 2 time management & strategic planning workshops; 1 faculty colloquium);
- ✓ Create a mentoring and referral system to connect faculty with other grant writers and to grant writing resources on campus;
- Create an OFFRR faculty development fund;
- Create a fund to hire grant consultants and review services on grant proposals;
- ✓ Connect 5% of WU faculty to research collaborators at Research I institutions.

To further enhance grant proposal development, in 2007-2010 OFFRR will:

- ✓ Assist 30% of CLA and SOE faculty in applying for grants per year;
- ✓ Identify and help faculty apply to 5 new funding sources or grant programs per year;
- Exceed the national average for grants and awards to faculty at similar institutions by 5% by 2010.

To further develop pre- and post-award grant resources, by 2010 OFFRR will:

- ✓ Create a web database for common Willamette grant opportunities;
- ✓ Raise awareness annually among faculty of OFFRR services;
- ✓ Facilitate development and updates of COS profiles for 50% of faculty (*In Process*);
- Provide secluded space and computer resources to allow faculty to concentrate on proposal development;
- Develop a faculty grants handbook that states clearly Willamette's pre-award, post-award, and compliance policies;
- Establish grant writing as a legitimate use of sabbatical and/or study leaves.

To expand OFFRR's capacity to serve both pre- and post-award grant functions, by 2010 OFFRR will:

- ✓ Create a permanent \$5,000 line item in OFFRR's annual budget for subscriptions;
- Hire a 1.0 FTE administrative assistant;
- Remodel its office suite to include a conference room, two additional offices, two faculty computer carrels, and a resource library;
- ✓ Establish in cooperation with the Office of Finance a permanent post-award position for grant accounting, management, and compliance (*In Process*)

Collaborative activities with Development and Alumni Relations

To optimize fundraising potential for projects that directly impact the ability of faculty members to carry out research and deliver educational experiences of the highest quality to students, OFFRR meets monthly with staff members from Willamette's Development and Alumni Relations (DAR). In fiscal year 2009 DAR was represented by Arminda Lathrop, Associate Director of Foundation and Corporate Relations. Faculty-generated projects for which no apparent public or government foundation sources are available are evaluated for their potential to elicit gifts from individual donors and/or private, family, and/or corporate foundations. Meetings are also used to coordinate submission of requests to sources that both offices hope to solicit and to track accurately dollars awarded to faculty. Of the thirteen projects currently being championed by OFFRR, the Office of Development and Alumni Relations, in collaboration with Interim Dean David Douglass and Willamette faculty, submitted external foundation proposals in 2009 to support two campus programs:

- **Summer Undergraduate Research in the Humanities, Arts and Social Sciences:** In response to a challenge issued by the A.W. Mellon Foundation to develop a student-engaged research program for the humanities, President Pelton, Interim Dean Douglass, and Willamette faculty developed a Hewett-funded internal pilot program, the Humanities, Arts, and Social Sciences Summer Undergraduate Research Program, into an expanded three-year pilot called the Liberal Arts Research Collaborative (LARC). LARC seeks to form collaborative student-faculty research communities through natural topic and theme-based connections that transcend disciplines. The LARC proposal has been recommended for funding, and the University expects to receive notification of the award in March 2010.
- **Quantitative Learning Center:** In fall of 2010, Willamette University will open a new student academic support facility, which will include three existing resources--the Writing Center, Language Learning Lab, and Center for Student Academic Grants and Awards--and two new resources: the Learning Center and Center for Quantitative Analysis and Design (QUAD Center). The establishment of a facility dedicated to academic support is a presidential priority and furthers Willamette's strategic commitment to academic excellence while increasing student retention by ensuring that our students receive the kind of academic support they need. The University has submitted proposals for this project to the M. J. Murdock Charitable Trust and Arthur Vining Davis Foundations and will also seek funding from Meyer Memorial Trust and an anonymous foundation.

Non monetary awards

OFFRR supported faculty through the nomination process for three non-monetary awards, including a nomination for the Oregon Professor of the Year Award presented by the Carnegie Foundation/Council for Advancement and Support of Education (CASE) and nominations for the Iota Sigma Pi Centennial Award for Excellence in Undergraduate Teaching and Oregon Health & Science University Foundation Medical

Research Foundation Mentor Award. CASE, Iota Sigma Pi, and Medical Research Foundation award nominations were prepared by Dr. Patricia Alley in OFFRR.

Resource development

In 2008-09, OFFRR continued its subscription to *Community of Science (COS)*, a searchable, online grants, awards, and fellowship database available to every faculty member through his or her computer. Covering funding sources for all academic disciplines, COS contains over 40,000 records worth over \$33 billion. The URL for COS is <http://www.cos.com/>. COS enables faculty members to develop and save customized search criteria based on their research and teaching interests and receive e-mail alerts automatically when the system identifies funding sources that match those search criteria. In 2010, OFFRR will host two training workshops to help new faculty learn to make optimal use of COS search and alert functions.

Willamette University Hatfield Library subscribes to the Foundation Directory Online (www.fconline.fdncenter.org), a leading online resource for funding research developed by the Foundation Center. OFFRR also maintains professional subscriptions to the following: The Grantsmanship Center (TGCI), Willamette Valley Development Professionals (WVDP), National Council of University Research Administrators (NCURA), Council for Undergraduate Research (CUR), and Council for Advancement and Support of Education (CASE).

OFFRR subscribes to several funding-related listservs, RSS feeds and Facebook groups, including:

- AAAS GrantsNet
- AAAS Science NOW Weekly Email Alert
- Academic Research Funding Strategies Funding News, an electronic newsletter for university research administrators, faculty and staff
- Americans for the Arts – Arts Action News
- Chronicle of Higher Education
- CFRNet, a discussion group for corporate and foundation relations in educational institutions
- College of Liberal Arts Sponsored Program (CLASP) listserv
- COS University Services Newsletter
- Council for International Exchange of Scholars (CIES) Fulbright Scholar News
- Council for Undergraduate Research (CUR) listserv; UNDERCURRENTS: the voice of undergraduate research Facebook group
- Foundation Center Philanthropy News Digest and RFP Bulletin
- Grants.gov Grant Opportunities Posting Updates
- Hewlett Foundation Updates
- H-Net (Humanities and Social Sciences Net Online) Academic Funding and Fellowship Announcements
- Inside Higher Education – Daily News Update
- MyNSF News Service

- National Council for Undergraduate Research Administration (NCURA) Predominantly Undergraduate Institutions (PUI) and Pre-award (Preaward) Online Neighborhoods
- National Endowment for the Humanities – NEH Connect
- National Endowment for the Humanities Office of Digital Humanities Update
- National Institutes of Health (NIH) – Funding Opportunities and Notices
- National Science Foundation Update Daily Digest Bulletin
- Oregon Arts Commission Mailing List
- Oregon Council for the Humanities (OCH) Electronic Newsletter – OCH News
- Philanthropy News Digest (PND) News Alert and RFP Announcements
- Project Kaleidoscope (PKAL) Community listserv
- Quinlan Grants in Higher Education Grants Opportunities Announcements
- Research Administrators listserv (RESADM-L)
- Research Associates Grants Experts listserv
- Research Corporation for Science Advancement on Facebook
- Teagle Foundation Updates
- TAMU Office of Proposal Development, Research Funding & Grant Writing Newsletter and RSS feeds
- The Grantsmanship Center (TGCI) Newsletter

OFFRR also subscribes to several compliance-related listservs, including:

- IRB-Net Newsletter
- National Council for Undergraduate Research Administration (NCURA) Compliance (Comp) Online Neighborhood
- Public Responsibility in Medicine and Research (PRIM&R) Announcements

OFFRR website

In August 2009 OFFRR unveiled a website to further help faculty identify sources of funding and prepare research and/or curricular proposals. The new OFFRR website includes links to external grant opportunities by discipline, internal faculty resources and deadlines, institutional data commonly needed for grant proposals, and a fillable project initiation form. The URL for website is <http://www.willamette.edu/dept/offrr/index.html>.

Building faculty capacity

A major goal of OFFRR is to help faculty develop their grant seeking skills, from identifying sources of funding to writing successful proposals. OFFRR used the following methods to educate faculty to the grant seeking process and to develop the capacity of faculty to write successful proposals:

- To introduce OFFRR and its staff to faculty and provide opportunities for faculty to share their plans for grant submission in the coming year, OFFRR held a poster session at the CLA faculty retreat in August.

- To acquaint prospective faculty members and administrators with the work of OFFRR, OFFRR staff members participated in interviews of candidates for faculty and administrative positions scheduled to begin in the fall of 2009.
- Since 2006, OFFRR and the CLA Dean have supported a total of nine faculty members' attendance at regional National Science Foundation Grants Conferences. Of those nine, five faculty members submitted five successful NSF proposals, totaling \$1,402,849, after attending a NSF Grants Conference or meeting in person with a NSF program officer (*denotes faculty member participation at NSF Grant Conference):
 - 1) David Craig* (Biology), National Science Foundation - Research Opportunities Award, \$49,218 (Awarded June 2007)
 - 2) Inga Johnson* (Mathematics) and Colin Starr (Mathematics), Research Experiences for Undergraduates (REU) Program Grant, National Science Foundation, \$491,400 (Awarded February 2007)
 - 3) Drew Duncan* (Chemistry), Sarah Kirk* (Chemistry) and Chuck Williamson (Chemistry), Major Research Instrumentation Grant, National Science Foundation Major Research Instrumentation (MRI) Program, \$409,750 (Awarded July 2008)
 - 4) Drew Duncan* (Chemistry), National Science Foundation - Research Opportunities Award, \$10,380 (Awarded August 2008)
 - 5) Joyce Millen* (Anthropology), National Science Foundation – Cultural Anthropology Senior Research Grant, \$442,100 (Awarded April 2009)
- The Council for Undergraduate Research also selected Willamette University to host the national CUR Proposal Writing Institute on campus during the summer 2009. This Institute brought together faculty and administrators interested in preparing proposals for submission to external funding agencies and assisted novice to experienced proposal writers in drafting complete proposals for submission. The CLA Dean and OFFRR selected seven Willamette faculty members (Karen Arabas, Kimberlee Chambers, Drew Duncan, Jason Duncan, Michaela Kleinert, and Barbara Stebbins-Boaz) to develop proposals for external funding at the institute.
- OFFRR continues to offer a professional development workshop for tenure track faculty called “Strategic Planning and Time Management to Tenure.” The workshop is designed to help any interested faculty member develop a strategic plan toward tenure that integrates his/her professional and pedagogical goals, strategies and activities. Since 2007 OFFRR has presented six of these workshops to 24 CLA and SOE faculty members.

Directing faculty to internal funding sources

Although its primary mission is to secure extramural funding, OFFRR is pleased to help faculty identify appropriate internal funding sources for projects. In many cases, OFFRR recommends that faculty employ internal grant funding to establish pilot

projects and programs that can be used subsequently to demonstrate “proof of concept” to extramural granting agencies.

Policy development

In 2009 OFFRR drafted four policies to bring Willamette into compliance with federal granting requirements:

- **Financial Conflict of Interest Disclosure Statement** (for investigators with external federal funding): The purpose of this disclosure statement is to give the University the information needed to identify potential financial conflicts of interest for investigators and other key personnel involved in federally sponsored activities and to ensure that no unresolved conflict exists between their sponsored activities and their outside interests and activities.
- **Faculty Effort Certification Form**: As a condition of receiving funding from the federal government, Willamette University must comply with the Office of Management and Budget (OMB) Circular A - 21 (Cost Principles for Educational Institutions). Section J.10 requires certification of effort to document salary expenses charged directly or indirectly against federally - sponsored projects. This process ensures that salaries and wages are properly expended and that actual effort is consistent with the originally anticipated (budgeted) effort. Willamette’s effort reporting policies and procedures are intended to meet this requirement. To meet this requirement OFFRR has drafted an after-the-fact University reporting system using a Faculty Effort Certification Form.
- **Responsible Conduct of Research Training Policy**: The 2007 America COMPETES Act, Section 7009, directed NSF to require that all funded students and postdoctoral researchers undergo training in the responsible conduct of research (RCR). The implementation of this requirement becomes effective January 4, 2010, when all institutions submitting proposals to NSF must certify that a plan in place to provide appropriate training and oversight in the responsible and ethical conduct of research to undergraduates, graduate students, and postdoctoral researchers who will be supported by NSF to conduct research.
- **U.S. Department of Health and Human Services (DHHS) Federalwide Assurance (FWA) for the Protection of Human Subjects for Domestic Institutions**: The federal Office for Human Research Protections (OHRP) requires that federally funded human subjects research only be conducted at facilities covered by a Federalwide Assurance (FWA). An FWA is a document that designates the Institutional Review Board that will review and oversee the research, specifies the ethical principles under which the research will be conducted, and names the individuals who will be responsible for the proper conduct of the research. An FWA is required whenever (1) the research is funded by a grant from the federal government; (2) the research is not exempt from IRB oversight, and; (3) when employees or agents of Willamette University intervene or interact with living individuals for research purposes.

Staff development

To enhance their capacity to help faculty, OFFRR staff members regularly attend grant writing seminars and workshops, and local, regional, and national meetings of fundraising professionals. In FY2009:

- Kendra Mingo attended National Council of University Research Administrators (NCURA) Region VI/VII Spring Meeting, Portland, OR (April 27-30, 2008); Council on Undergraduate Research (CUR) National Conference, College of St. Benedict, Saint Joseph, MN (June 21-24, 2008); Council on Undergraduate Research (CUR) Proposal Writing Institute, Baldwin-Wallace College, Berea, OH (July 20-24, 2008); Colleges of Liberal Arts Sponsored Programs 2008 Conference, Lafayette College, Lafayette, PA (November 12-14, 2008); CIES Fulbright Program for Faculty and Professionals Workshop, Lewis & Clark College, Portland, OR (May 1, 2009).
- Kendra Mingo participated in one online NCURA training workshop: "Assessing the Sponsored Programs Office: Satisfying the Bureaucracy or Good Organizational Practice" (December 3, 2008).

Contact Information

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Status of Faculty Initiated Proposals Faculty Award Nominations FY2009

Project Leader (Co-Leaders)	Proposal Title	Source	Amount Requested	Date Submitted	Amount Awarded	Status
Basu, Sammy	Willamette University Canadian Studies Program Enhancement Grant 2008-09	Embassy of Canada - Canada Programme Enhancement Grant	13,050.00	6/10/08	6,000.00	F
Basu, Sammy	Funds to support visiting Canadian filmmaker historian Jean Barman	Association for Canadian Studies in the United States Fund for the Arts	400.00	2/10/09	400.00	F
Brown, Cheryl	Mastery of English Language Development Skills (MELDS) Program	Arthur Vining Davis Foundation	150,000.00	1/10/08	150,000.00	F
Craig, David	Murdock Start Up Proposal for New Biology Department Faculty	M. J. Murdock Charitable Trust	25,000.00	8/1/08	25,000.00	F
Craig, David	Parental Care, Feeding, and Migration in a Bill-load Handling Seabird	M. J. Murdock Charitable Trust College Research Program for the Life Sciences	45,403.00	9/30/08	45,403.00	F
DeLeonibus, Gaetano	Funds to support visiting Canadian filmmaker Andre Gladu	Association for Canadian Studies in the United States Fund for the Arts	400.00	2/10/09	400.00	F
DeLeonibus, Gaetano	Funds to support visiting Canadian filmmaker Andre Gladu	Quebec Government Office in Los Angeles	1,500.00	2/10/09	1,500.00	F
Dobkins, Rebecca	The Art of Ceremony Regalia-Makers Project	NEA Challenge America Fast-Track Review Grants FY2009, National Endowment for the Arts	10,000.00	6/2/08	10,000.00	F
Duncan, Drew	New Methods for the Synthesis of Biaryl Templates	National Science Foundation Research Opportunity Award (PI: Rich Carter, Oregon State University)	10,380.00	7/1/08	10,380.00	F
Duncan, Drew	Stereocontrolled Organocatalytic Synthesis of Oxacycles	ACS Petroleum Research Fund Undergraduate New Investigator (UNI) Program	50,000.00	2/25/09	50,000.00	F
Ellis, Richard	New College Colloquium at Willamette University	Rose E. Tucker Charitable Trust	42,500.00	6/7/08	40,000.00	F
Fujiwara, Miho	ASIANetwork Freeman Student-Faculty Fellows Program	ASIANetwork Freeman Foundation	25,000.00	12/1/08	25,000.00	F
Gutterman, David	2009 Summer Institute on Student-centered discussion and civically engaged education	Interactivity Foundation	5,000.00	11/19/08	5,000.00	F
Hamlin, Karen	Oregon Writing Project at Willamette University	National Writing Project	46,000.00	1/5/09	46,000.00	F
Holman, Karen	XANES studies of ruthenium anti-cancer drugs at the Cl, S K-edges and Ru L-edge	Lawrence Berkeley National Laboratory - Research Proposal for Beamtime at the Advanced Light Source (ALS-03361)	50,000.00	1/15/09	50,000.00	F
Jopp, Jennifer	What Is a Just Society?	National Endowment for the Humanities Enduring Questions: Pilot Course Grants	25,000.00	11/13/08	25,000.00	F
Kephart, Susan	Fairview Wetlands: Restoration, Biodiversity and Education in a Dynamic Landscape	The Oregon Community Foundation Katherine Bisbee II Fund	17,355.00	10/6/08	17,355.00	F
Loftus, Ron	Research Travel to Japan	Northeast Asia Council Grant	2,700.00	2/10/09	2,700.00	F
Millen, Joyce	A Multi-Sited Critical Analysis of African Diaspora Engagement in Homeland Health	National Science Foundation Cultural Anthropology	447,200.00	3/18/09	442,100.00	F
Otto, Peter	AMC-SS: Equilibrium and Nonequilibrium Statistical Mechanics Applied to Reduced Descriptions of Complex Systems	National Science Foundation Research Opportunity Award (PI: Richard S. Ellis, University of Massachusetts)	23,870.00	4/17/09	23,870.00	F
Smith, Chris	Collaborative Research: RUI: Measuring reciprocal natural selection in an obligate pollination mutualism	National Science Foundation	304,624.00	1/9/09	149,674.00	F
Thompson, James	Career Opportunity Grants for Artists	Oregon Arts Commission	1,500.00	8/12/08	1,500.00	F
Trapp, Robert	Youth Leadership and Teacher Professional Development Program with Bosnia and Herzegovina	U.S. Department of State's Bureau of Educational and Cultural Affairs - Office of Citizen Exchanges	90,000.00	1/31/09	90,519.00	F
Watkins, Rick	Murdock Start Up Proposal for New Physics Department Faculty	M. J. Murdock Charitable Trust	25,000.00	8/1/08	25,000.00	F
Bachvarova, Mary	The Eastern Mediterranean Context of Athenian Tragedy	National Endowment for the Humanities Summer Stipend	6,000.00	10/1/08	0.00	D
Bachvarova, Mary	The Eastern Mediterranean Context of Athenian Tragedy	Radcliffe Institute Fellowship Program in the Creative Arts, Humanities, and Social Sciences, Radcliffe Institute for Advanced Study, Harvard University	70,000.00	10/1/08	0.00	D
Bachvarova, Mary	The Eastern Mediterranean Context of Athenian Tragedy	Loeb Classical Library Foundation	33,600.00	11/1/08	0.00	D

Status of Faculty Initiated Proposals Faculty Award Nominations FY2009

Project Leader (Co-Leaders)	Proposal Title	Source	Amount Requested	Date Submitted	Amount Awarded	Status
Bachvarova, Mary	The Eastern Mediterranean Context of Athenian Tragedy	American Council of Learned Societies Charles A. Ryskamp Research Fellowships for Untenured Faculty	64,000.00	10/2/08	0.00	D
Bachvarova, Mary	The Eastern Mediterranean Context of Athenian Tragedy	University of Cincinnati Classics Department Margo Tytus Visiting Scholars Program	4,500.00	1/15/08	0.00	D
Bachvarova, Mary	The Near East and Eastern Mediterranean Context of Athenian Tragedy	National Endowment for the Humanities Fellowship	33,600.00	5/5/09	0.00	D
Basu, Sammy	Humor in the Humanities: study of the classics to renew Writing Political Humor	National Endowment for the Humanities Teaching Development Fellowship	12,600.00	9/30/08	0.00	D
Bourque, Monique	The Liberal Arts in Business and Management: Kemper Foundation Undergraduate Internships	Kemper Foundation	50,000.00	12/1/08	0.00	D
Conliffe, Mark	A Russian Conscience in Turbulent Times: The Life and Writings of Vladimir Korolenko, 1853-1921	National Endowment for the Humanities Summer Stipend	6,000.00	10/1/08	0.00	D
Cordova, Nacho	What Does It Mean to Be a Virtuous Citizen?	National Endowment for the Humanities Enduring Questions: Pilot Course Grants	11,825.00	11/13/08	0.00	D
Cotlar, Seth	Howard Foundation Fellowship, "The Cultural History of Nostalgia in Modernizing America, 1776-1860" (yearlong sabbatical 2011)	George A. and Eliza Gardner Howard Foundation	25,000.00	11/10/08	0.00	D
Craig, David	Video Analysis of Individual Lateralization and Development of Prey-Handling Skills in Caspian Terns	Waterbird Society - Nisbet Research Grant	1,000.00	7/14/08	0.00	D
Duncan, Jason	Axonal Transport and the Regulation of Microtubule Dynamics	Oregon Medical Research Foundation	30,943.00	2/14/09	0.00	D
Ellis, Richard	The Freedom to Discriminate: The Case of the Gay Boy Scout	National Endowment for the Humanities Fellowship	50,400.00	5/5/09	0.00	D
Holman, Karen	Nomination for Iota Sigma Pi Centennial Award for Excellence in Undergraduate Teaching	Iota Sigma Pi National Honor Society for Women in Chemistry	500.00	2/15/09	0.00	D
Kleniert, Michaela	Collisional interactions between ultracold alkaline and alkaline-earth atoms	Research Corporation Cottrell College Science Award	43,352.00	11/15/08	0.00	D
Millen, Joyce	Medical Research Foundation Mentor Award	Oregon Health & Science University Foundation	6,000.00	8/25/08	0.00	D
Millen, Joyce	CAREER: Clinic Dreams -- A Multi-Sited Critical Analysis of African Health Professional Circulation and Medical Philanthropy	National Science Foundation CAREER	550,970.00	7/24/08	0.00	D
Petersen Boring, Wendy	Western Civilization and Sustainability	National Endowment for the Humanities Teaching Development Fellowship	21,000.00	9/30/08	0.00	D
Silverstein, Todd (Kirk, Williamson, Duncan, Holman, Goodney)	CCLI: An Integrated, Instrument Intensive Project-Based Biochemistry Laboratory for Enhanced Student Learning and Research	National Science Foundation	149,950.00	5/22/09	0.00	D
Smith, Chris	Collaborative Research: RUI: Measuring reciprocal natural selection in an obligate pollination mutualism	National Science Foundation	289,626.00	7/9/08	0.00	D
Tallman, Gary	RUI: Effects of sustained heat and inhibitors of NO production on plant auxin signaling	National Science Foundation	306,978.00	1/9/09	0.00	D
Thompson, James	Oregon Professor of the Year Award	Carnegie Foundation and the Council for Advancement and Support of Education	0.00	4/17/09	0.00	D
Watkins, Rick	Cosmological Parameters from Standardized Moments of the Large-Scale Peculiar Velocity Field	American Astronomical Society Small Research Grant Program	4,590.00	11/30/08	0.00	D
Williamson, Chuck	A Raman Investigation of Solvation Shell Behavior Near the Critical Point of Methanol / Carbon Disulfide Liquid-Liquid Mixtures	M. J. Murdock Charitable Trust Partners in Science Program	15,000.00	12/1/08	0.00	D
Williamson, Chuck	Pittconn Request for Rudolph Research Autopol III Polarimeter	2009 Pittsburgh Conference Memorial National College Grants Program	8,337.00	12/1/08	0.00	D
Witkow, Melissa	Missing pieces in the adolescent peer victimization puzzle: Implications for interventions in low resource schools	Funding Opportunities for the Recovery Act: NIH Challenge Grants in Health and Science Research (RFA-OD-09-003)	121,887.00	4/23/09	0.00	D

Status: F= Funded, D = Denied

TOTAL 1,242,801.00

Appendix 2: OFFRR Four Year Trends FY 2006-2009

Figure 4. Number of Grant Submissions by Faculty Members in FY 2006 - 2009

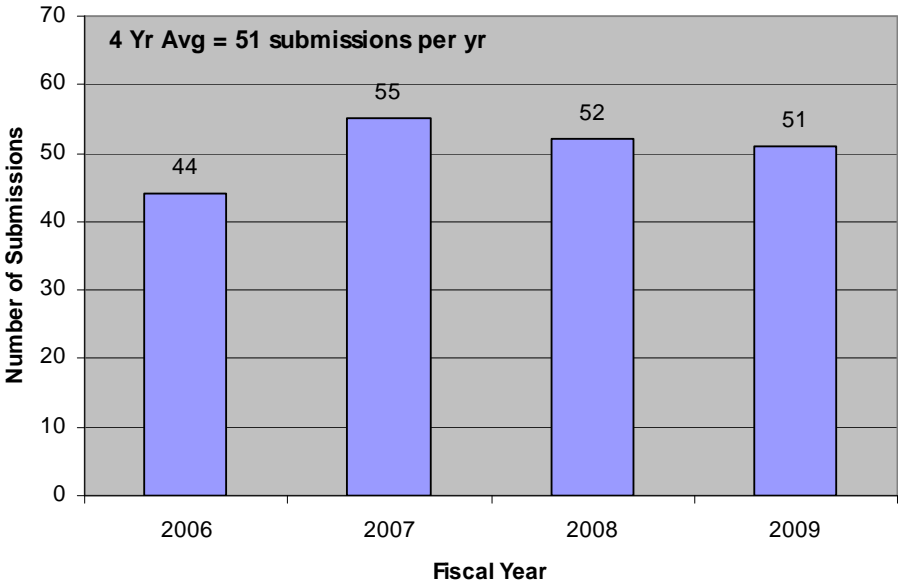
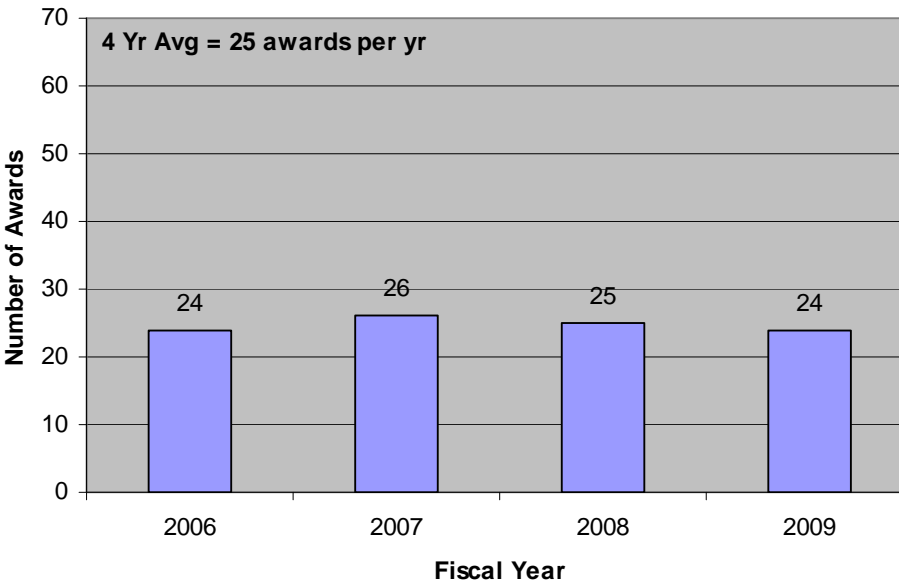


Figure 5. Number of External Awards to Faculty for Proposals Submitted in FY 2006-2009



Appendix 2: OFFRR Four Year Trends FY 2006-2009

Figure 6. Total Amount Requested for External Grants Submitted in FY 2006-2009

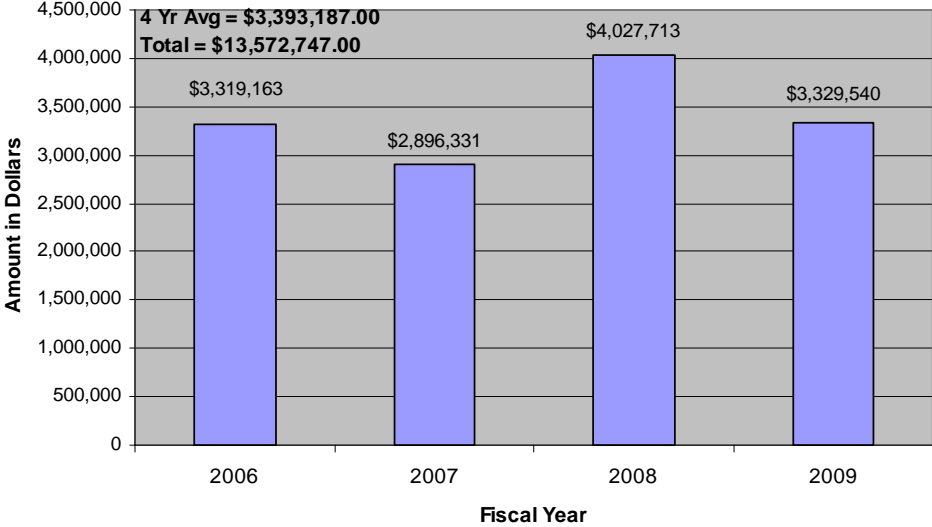
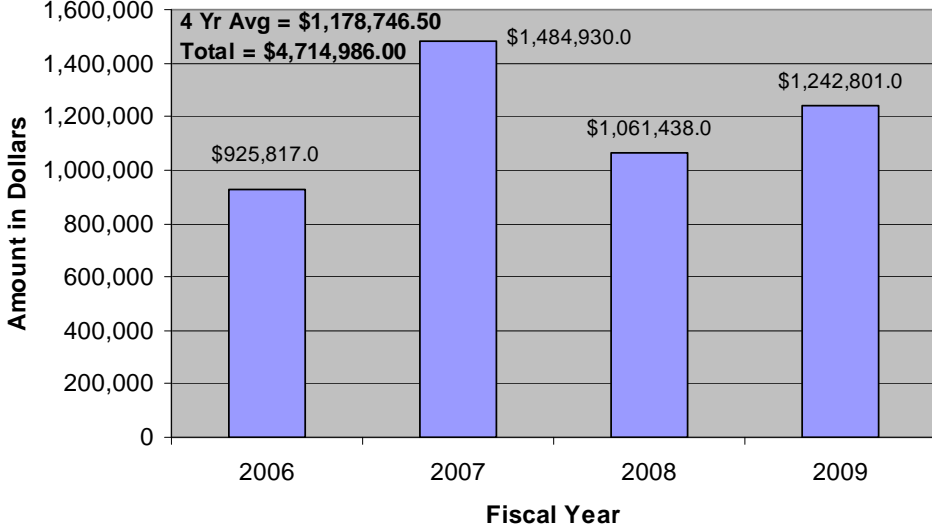


Figure 7. Total Amount Awarded for Faculty Proposals Submitted in FY 2006-2009



Appendix 2: OFFRR Four Year Trends FY 2006-2009

Figure 8. Proportion of Funded Proposals in FY 2006-2009
(# Funded/ # Submitted x 100)

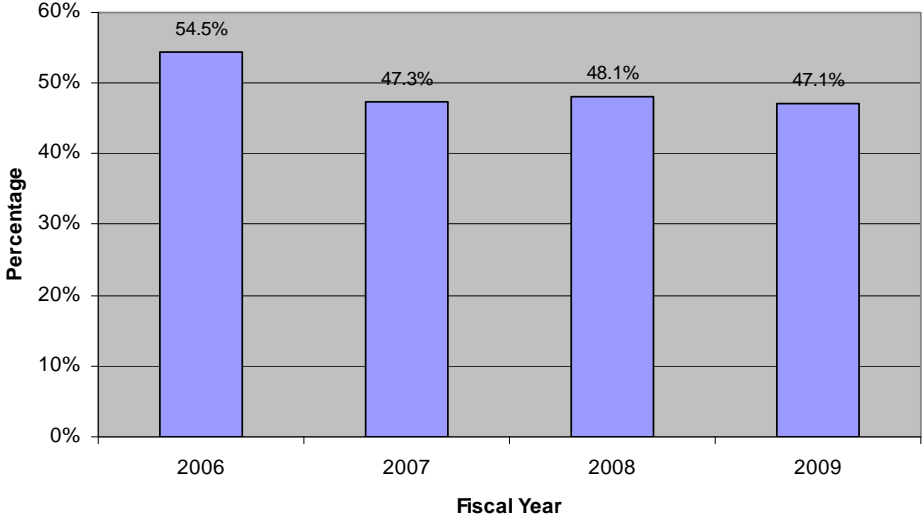


Figure 9. Dollars Captured as a Percentage of Dollars Requested in FY 2006-2009
(\$ Amt. Funded / Total Amt. Requested x 100)

